



Dkt. 54927-A-PCT-US/JPW/ADM

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael Konkel, et al.
U.S. Serial No : 09/764,710
Filed : January 17, 2001 Group Art Unit: 1614
For : COMPOUNDS SPECIFIC FOR THE HUMAN ALPHA_{1d}
ADRENERGIC RECEPTOR AND USES THEREOF

1185 Avenue Of The Americas
New York, New York 10036
July 6, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**TRANSMITTAL OF VERIFIED STATEMENT
(DECLARATION) CLAIMING SMALL ENTITY STATUS UNDER
37 C.F.R. §1.9(f) AND §1.27(d)**

Assignee submits herewith a Verified Statement (Declaration) Claiming Small Entity Status Under 37 C.F.R. §1.9(f) and §1.27(d) as **EXHIBIT A**. This Verified Statement is signed by Dr. Kathleen P. Mullinix, the authorized official of the assignee of record, Synaptic Pharmaceutical Corporation.

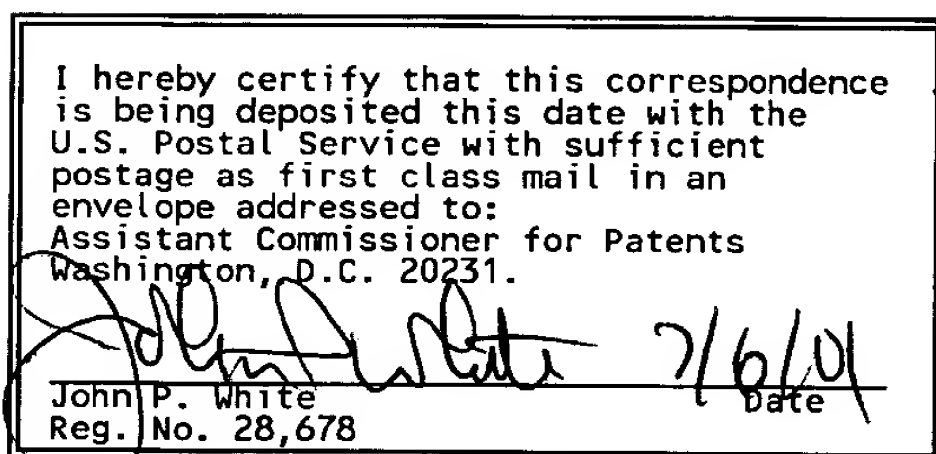
No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

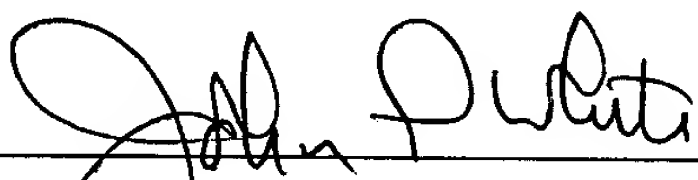
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Respectfully submitted,




John P. White
Registration No. 28,678
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400



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New York, New York 10036
July 6, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: Box Missing Parts

Sir:

**COMMUNICATION IN RESPONSE TO MAY 7, 2001 NOTICE TO FILE
MISSING PARTS OF APPLICATION FILING DATE GRANTED**

This Communication is submitted in response to the May 7, 2001 Notice to File Missing Parts of Application - Filing Date Granted issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to this May 7, 2001 Notice is due July 7, 2001. Accordingly, this Communication is being timely filed.

The Notice to File Missing Parts of Application indicates that the oath or declaration is missing. Applicants attach hereto a copy of the Notice as **EXHIBIT 1**. In response, applicants submit as **EXHIBIT 2** hereto a Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53(f). In compliance with 37 C.F.R. §1.63, the Declaration refers to the application's above-identified serial number and filing date.


If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number

Applicant: Michael Konkell, et al.
Serial No.: 09/764,710
Filed: January 17, 2001
Page 2

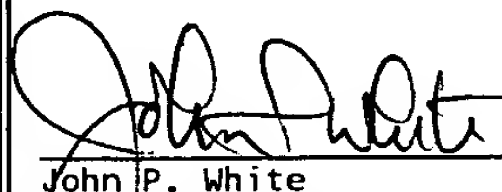
provided below.

No fee, other than the enclosed fee of \$65.00, is deemed necessary in connection with the filing of this Communication. If any other fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,



John P. White
Registration No. 28,678
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
	7/6/01
John P. White Reg. No. 28,678	Date

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/764,710	01/17/2001	Michael Konkel	54927-A-PCT-US/JPW/GJC

CONFIRMATION NO. 7575

FORMALITIES LETTER



OC000000006048191

John P. White
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, NY 10036

Date Mailed: 05/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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EXHIBIT 1

Michael Konkel, et al.
Serial No.: 09/764,710
Filed: January 17, 2001